



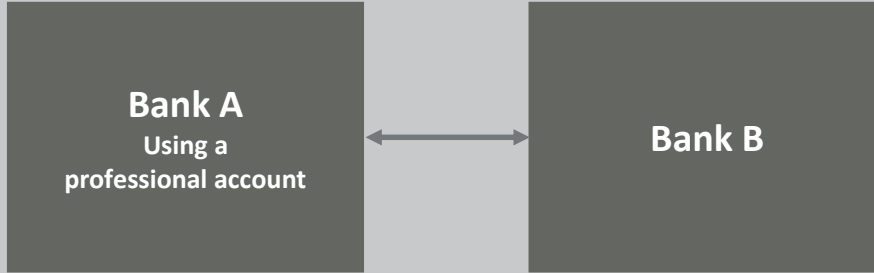
How to instruct?

Examples

FH



Both part and counterpart is clearing participants (FH)



Bank B instructs only Party 1

BIC code or CD-ID

Using proprietary ID/vp.ONLINE, Bank A is registered in:

- 'Traded with'

Using MT/MX, Bank A is registered in:

- 95:P/R DEAG/REAG or Party 1 BIC/CD-ID

NB:

- No use of Counterpart Party 2

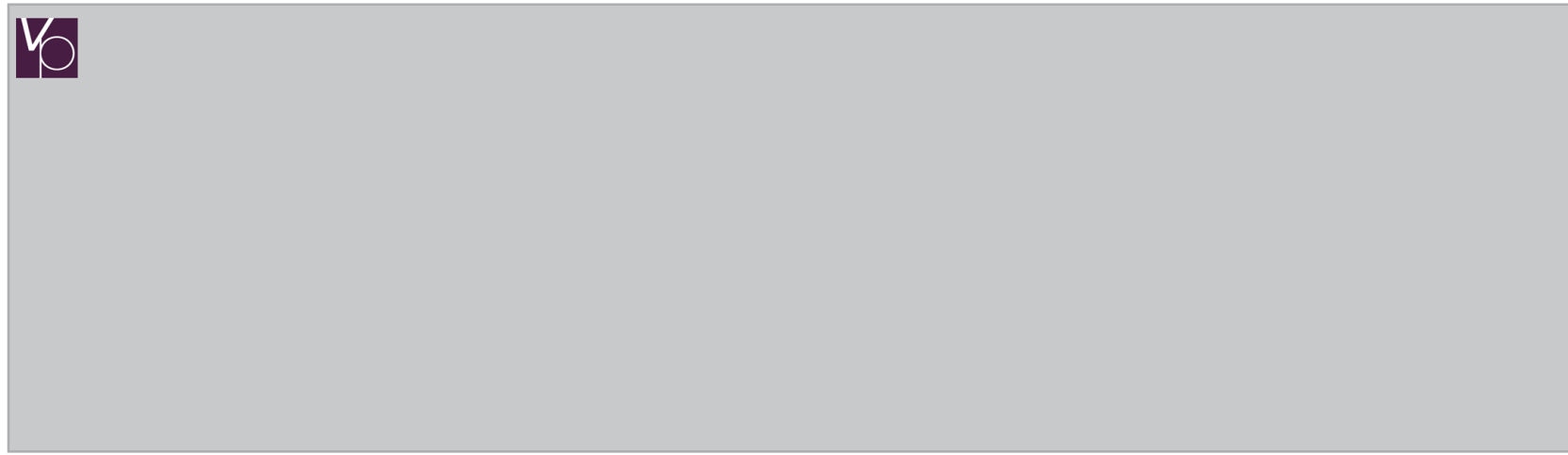
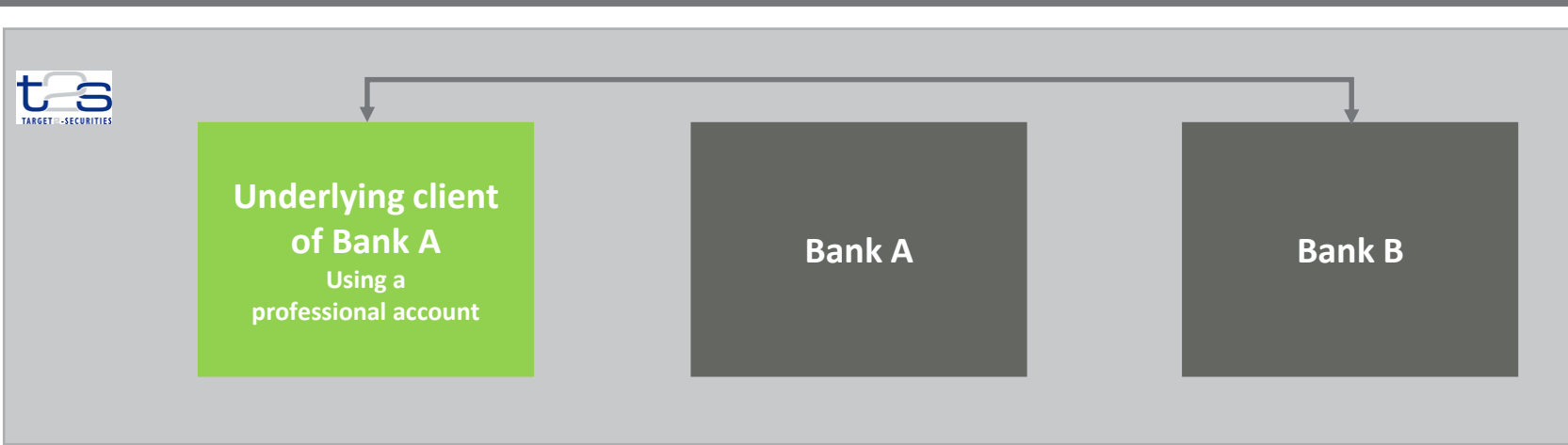
1:1

– underlying client acts as remote participant (ST)



1:1 – underlying client acts as remote participant (ST)

When Bank B instructs via proprietary format or vp.ONLINE



How does Bank B instruct?

Counterpart Party 1:

Underlying client of Bank A

Using proprietary ID/vp.ONLINE, Underlying client of Bank A is registered in:

- 'Traded with'

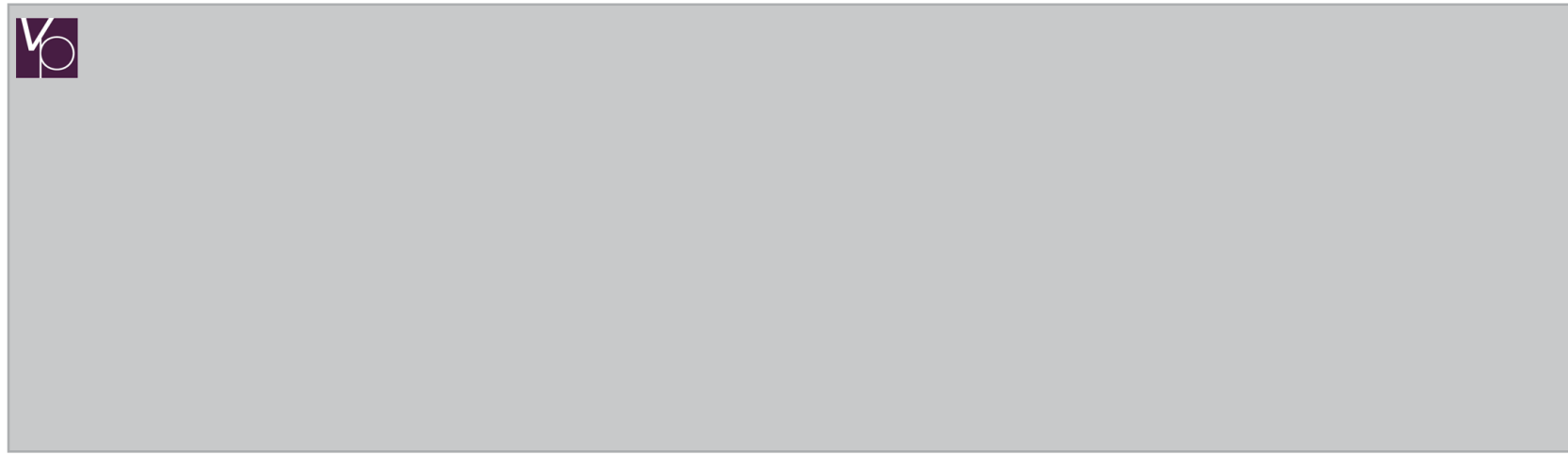
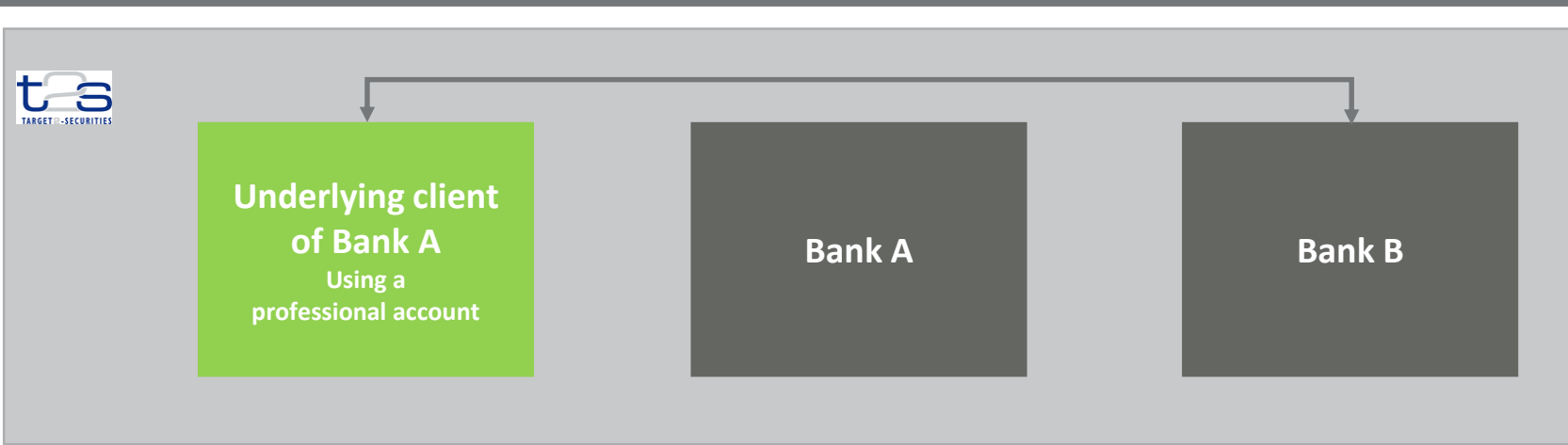
NB:

- No use of Counterpart Party 2
- Not applicable for I-CSDs, e.g. Euroclear Bank and Clearstream Banking Luxembourg
- The T2S account of the Underlying client of Bank A has to be set-up with the Underlying client of Bank A as account owner in T2S

As for FH. Only difference is that Bank A submits on behalf of Bank A's underlying client

1:1 – underlying client acts as remote participant (ST)

When Bank B instructs via ISO 15022 or ISO 20022



How does Bank B instruct?

Counterpart Party 1:

Underlying client of Bank A

Using MT/MX, Underlying client of Bank A is registered in:

95:P/R DEAG/REAG or Party 1 BIC/CD-ID

NB:

- No use of Counterpart Party 2
- Not applicable for I-CSDs, e.g. Euroclear Bank and Clearstream Banking Luxembourg
- The T2S account of the Underlying client of Bank A has to be set-up with the Underlying client of Bank A as account owner in T2S

As for FH. Only difference is that Bank A submits on behalf of Bank A's underlying client

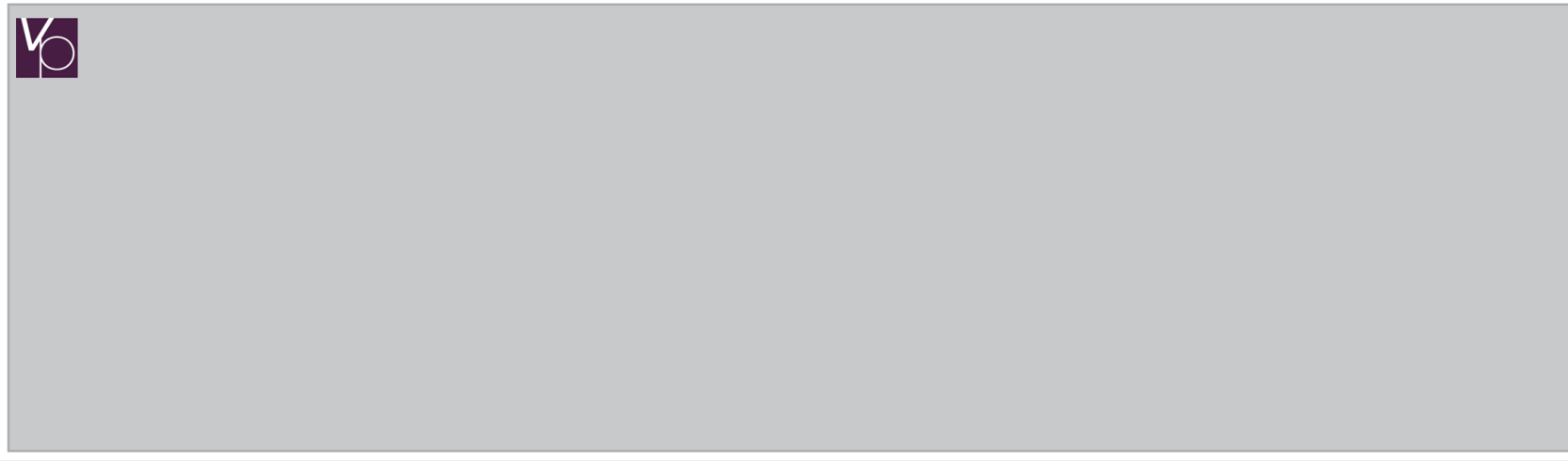
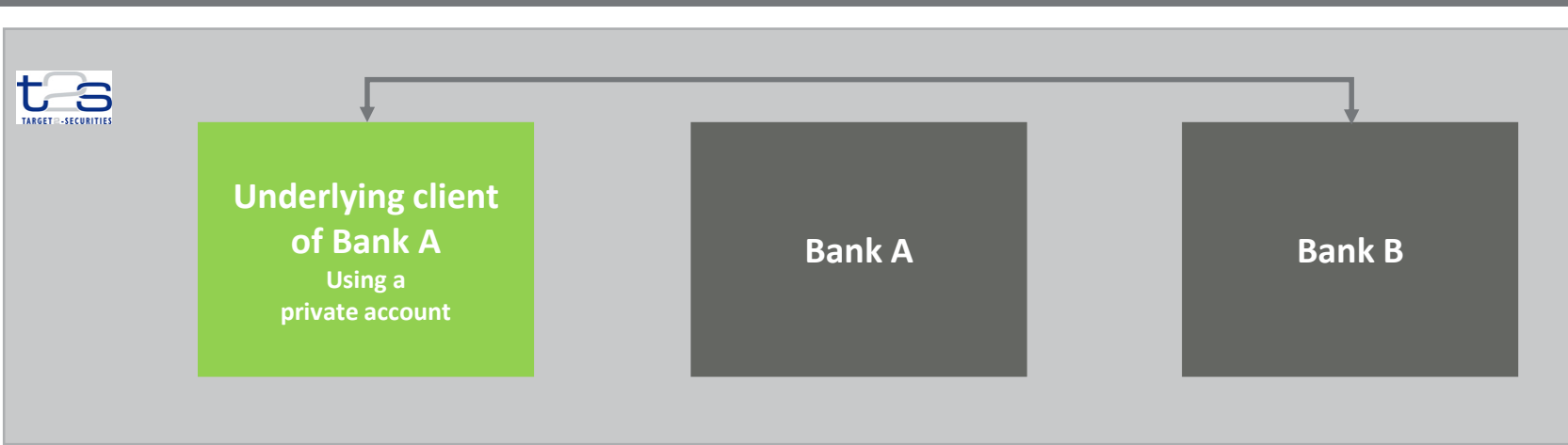
1:1

– underlying client (PR)



1:1

When Bank B instructs via proprietary format or vp.ONLINE



How does Bank B instruct?

Counterpart Party 1:

Bank A

Using proprietary ID/vp.ONLINE, Bank A is registered in:

- 'Securities Account Controller ID' as T2S account of Bank A is private

Counterpart Party 2:

Underlying client of Bank A

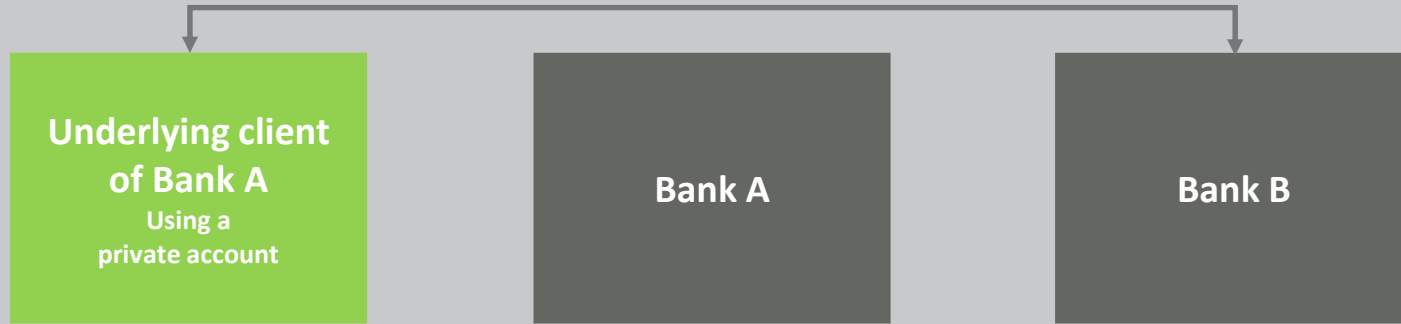
Using proprietary ID/vp.ONLINE, the client is registered in 'T2S Securities Account BIC', provided Bank A has stated the BIC of the underlying client as Party 2

If no BIC for the underlying client of Bank A

- Register securities account number of the Underlying client of Bank A in 'VP safekeeping account'

1:1

When Bank B instructs via ISO 15022 or ISO 20022



How does Bank B instruct?

Counterpart Party 1:

Using MT/MX, Bank A is registered in:

95:P DEAG/REAG or Party 1 BIC

Counterpart Party 2:

Underlying client of Bank A

Using MT/MX, the client is registered in

BUYR/SELL or Party 2 BIC, provided Bank A has stated the BIC of the underlying client as Party 2

If no BIC for the underlying client of Bank A

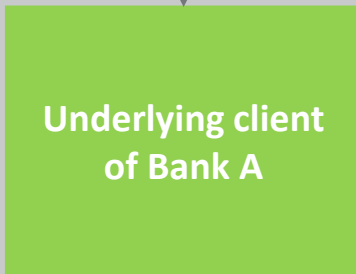
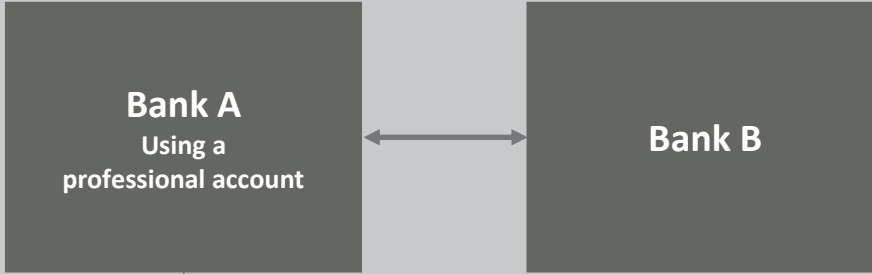
- 97:A (and 95:Q) for MT or Safekeeping Account (and Name) for MX

Omnibus



Omnibus

When Bank B instructs via proprietary format or vp.ONLINE



How does Bank B instruct?

Counterpart Party 1:

Bank A

Using proprietary ID/vp.ONLINE, Bank A is registered in:

- 'Traded with'

Counterpart Party 2:

Underlying client of Bank A

Using proprietary ID/vp.ONLINE, the client is registered in 'sub-ident'

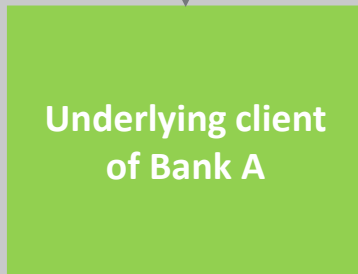
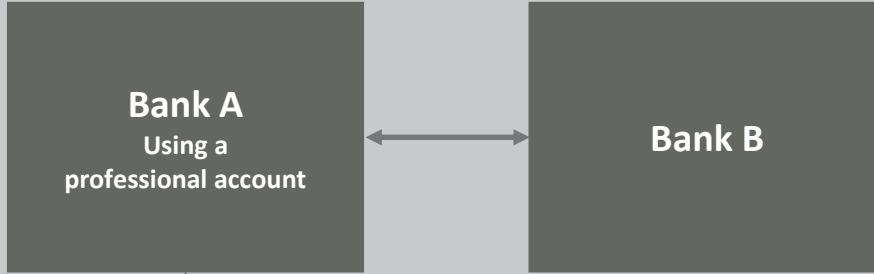
Sub-ident BIC

If no BIC for the underlying client of Bank A

- Sub-ident identification using the proprietary ID as agreed between Bank A and Bank B + 'VPDK' in 'SWIFT data source scheme'

Omnibus

When Bank B instructs via ISO 15022 or ISO 20022



How does Bank B instruct?

Counterpart Party 1:

Bank A

Using MT/MX, Bank A is registered in:

95:P DEAG/REAG or Party 1 BIC

Counterpart Party 2:

Underlying client of Bank A

Using MT/MX, the client is registered in 'sub-ident'

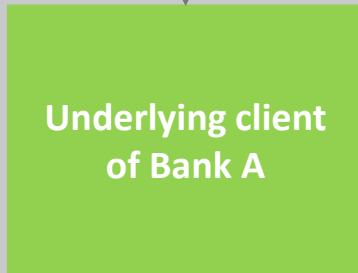
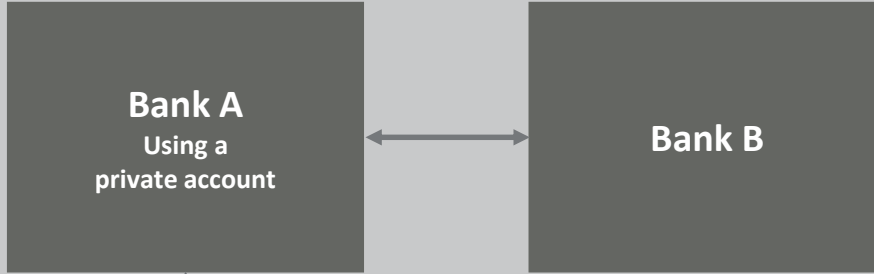
BUYR/SELL or Party 2 BIC

If no BIC for the underlying client of Bank A

- 95:R for MT or Proprietary ID for MX as agreed between Bank A and Bank B + 'VPDK' in 'SWIFT data source scheme'

Omnibus

When Bank B instructs via proprietary format or vp.ONLINE



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06  COUNTERPARTY-SEC-ACCOUNT-BIC  PIC X(11).  
  
BIC-code associated with the securities account of the  
counterpart.
```

How does Bank B instruct?

Counterpart Party 1:

Bank A

Using proprietary ID/vp.ONLINE, Bank A is registered in:

- 'Securities Account Controller ID' as T2S account of Bank A is private

Counterpart Party 2:

Underlying client of Bank A

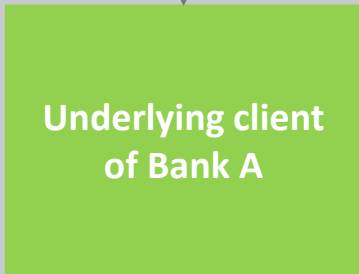
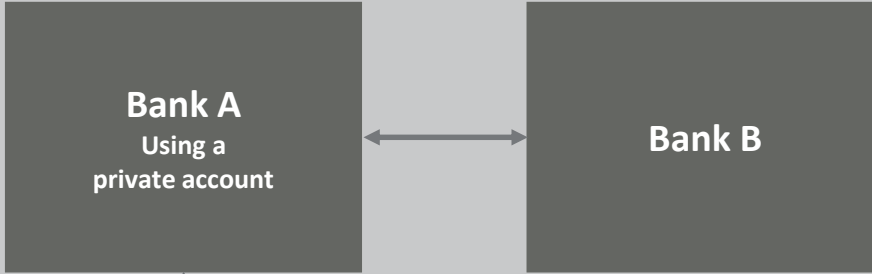
Using proprietary format/vp.ONLINE, the client is registered in 'T2S Securities Account BIC', provided Bank A has stated the BIC of the underlying client as Party 2

If no BIC for the underlying client of Bank A

- Not possible to include information on underlying client of Bank A

Omnibus

When Bank B instructs via ISO 15022 or ISO 20022



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:16R:SETPRTY  
:95P::SELL//AGRILULOXXX  
:16S:SETPRTY
```

How does Bank B instruct?

Counterpart Party 1:
Bank A

Using MT/MX, Bank A is registered in:

95:P DEAG/REAG or Party 1 BIC

Counterpart Party 2:
Underlying client of Bank A

Using MT/MX, the client is registered in

BUYR/SELL or Party 2 BIC, provided Bank A has stated the BIC of the underlying client as Party 2

If no BIC for the underlying client of Bank A

- Not possible to include information on underlying client of Bank A