
VP.FUND HUB™



User guide to Bilateral Transfer

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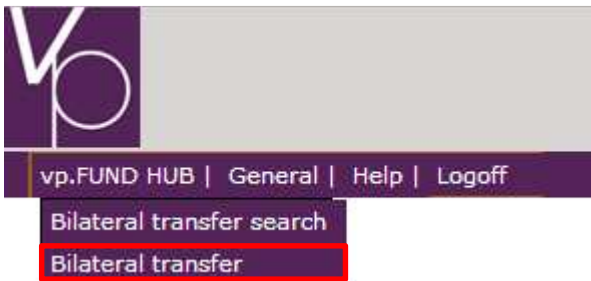
Bilateral Transfer

This function is used to register a transfer in instruction or a transfer out instruction in vp.FUND HUB. The bilateral transfer is settled, when both transfer instructions have been received and matched.

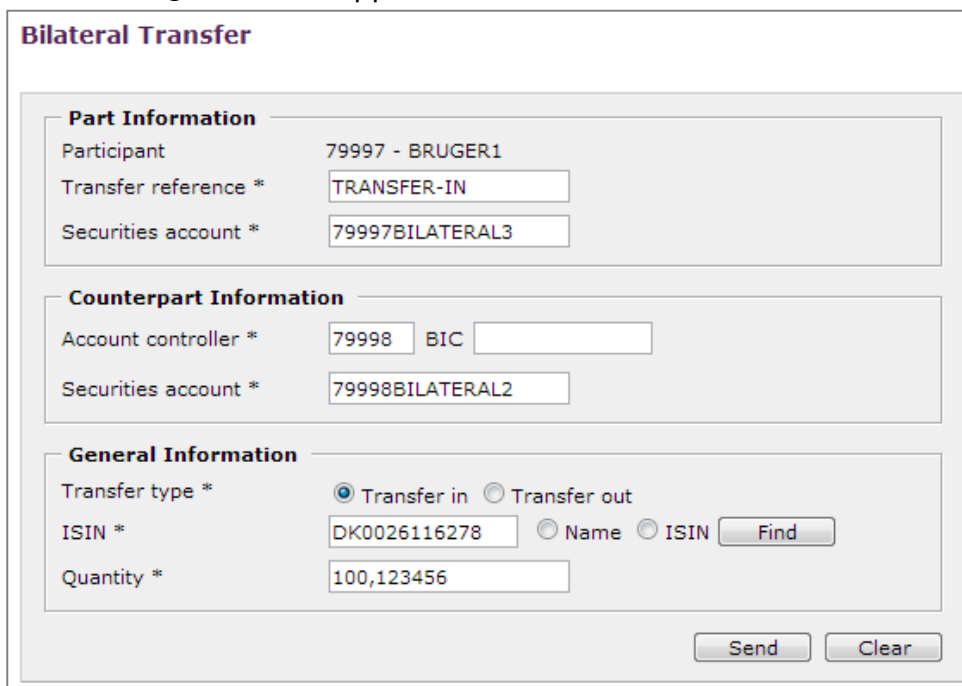
Create Transfer In instruction

The fields marked with * are mandatory. The following section describes how to create a transfer in instruction. The field descriptions are only for transfer in instructions, not for transfer out.

To create a transfer in instruction, go to vp.ONLINE and select 'Bilateral Transfer' from the vp.FUND HUB menu.



The following screen will appear.

A screenshot of the 'Bilateral Transfer' form. The form is titled 'Bilateral Transfer' and is divided into three sections: 'Part Information', 'Counterpart Information', and 'General Information'.
Part Information
Participant: 79997 - BRUGER1
Transfer reference *: TRANSFER-IN
Securities account *: 79997BILATERAL3
Counterpart Information
Account controller *: 79998 BIC []
Securities account *: 79998BILATERAL2
General Information
Transfer type *: Transfer in Transfer out
ISIN *: DK0026116278 Name ISIN
Quantity *: 100,123456
At the bottom right, there are two buttons: 'Send' and 'Clear'.

Figur 1 - Transfer In instruction. Data entered is for test purpose only

Field	Description
Part information	
Participant	The currently logged-in participant – the receiving account controller
Transfer reference	The account controller's reference for the transfer in instruction
Securities account	The receiving securities account
Counterpart information	
Account controller	The account controller of the delivering securities account – can be entered as either a CD-IDENT or a BIC
Securities account	The delivering securities account
General Information	
Transfer type	The type of transfer
ISIN	The ISIN, which is subject to the transfer request. If You only know the partial name or ISIN, click on 'Find', which will give You the search results from your input. There is no need to add a '%' or '*', when searching for a partial name/ISIN.
Quantity	The number of units to be transferred – max 6 decimals

When all input has been entered, press **Send** to register the Transfer In instruction. If the transfer instruction is valid, the following message will be shown:

Bilateral Transfer

201: Bilateral transfer request submitted

Part Information

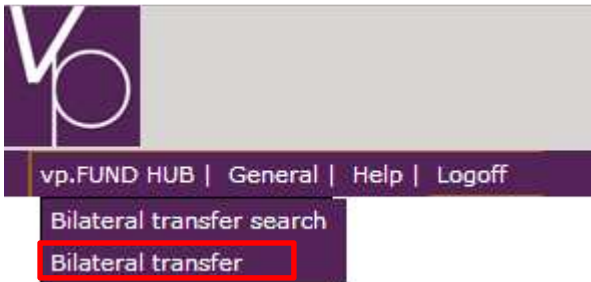
Participant 79998 - BRUGER1

Press **Clear** to clear the fields and restore default values.

Create Transfer Out instruction

The fields marked with * are mandatory. The following section describes how to create a transfer out instruction. The field descriptions are only for transfer out instructions, not for transfer in.

To create a transfer out instruction, go to vp.ONLINE and select 'Bilateral Transfer' from the vp.FUND HUB menu.



The following screen will appear.

Bilateral Transfer

Part Information

Participant: 79998 - BRUGER1

Transfer reference *:

Securities account *:

Counterpart Information

Account controller *: BIC

Securities account *:

General Information

Transfer type *: Transfer in Transfer out

ISIN *: Name ISIN

Quantity *:

Figur 2 - Transfer Out instruction. Data entered is for test purpose only

Field	Description
Part information	
Participant	The currently logged-in participant – the delivering account controller
Transfer reference	The account controller's reference for the transfer out instruction
Securities account	The delivering securities account
Counterpart information	

Account controller	The account controller of the receiving securities account – can be entered as either a CD-IDENT or a BIC
Securities account	The receiving securities account
General Information	
Transfer type	The type of transfer
ISIN	The ISIN, which is subject to the transfer request. If You only know the partial name or ISIN, click on 'Find', which will give You the search results from your input. There is no need to add a '%' or '*', when searching for a partial name/ISIN.
Quantity	The number of units to be transferred – max 6 decimals

When all input has been entered, press **Send** to register the Transfer Out instruction. If the transfer instruction is valid, the following message will be shown:

Bilateral Transfer

201: Bilateral transfer request submitted

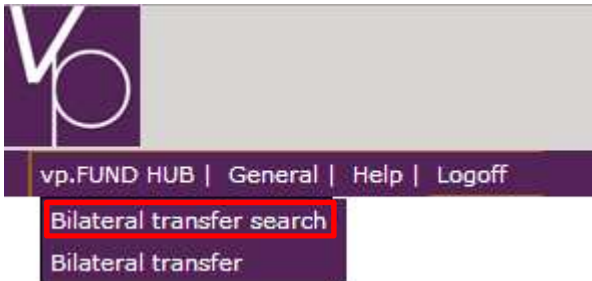
Part Information

Participant 79998 - BRUGER1

Press **Clear** to clear the fields and restore default values.

Search Bilateral Transfer

Fields marked with * are mandatory. To search for transfer instructions, go to vp.ONLINE and select 'Bilateral Transfer search' from the vp.FUND HUB menu.



The following screen will appear.

The screenshot displays the 'Bilateral Transfer Search' form. It features several input fields and checkboxes. The 'Transfer type' section has two checked checkboxes: 'Transfer in' and 'Transfer out'. The 'Status *' section has four checked checkboxes: 'Transfer Request Received', 'Settled', 'Cancelled', and 'Rejected'. A 'Find' button is located next to the ISIN field, and 'Search' and 'Clear' buttons are at the bottom right.

Figur 3 - Search bilateral transfer instructions

Field	Description
Transfer reference	The reference given in the transfer in/out instruction
From date	The date, from which the transfer instruction has been placed
To date	The date, to which the transfer instruction was placed
Securities account	The securities account used in the transfer in/out instruction. The securities account must be administered by the account controller, currently logged in
Transfer type	At least one transfer type must be selected. All are selected by default
ISIN	The ISIN, which is subject to the transfer request. If You only know the partial name or ISIN, click on 'Find', which will give You the search results from your input. There is no need to add a '%'

	or '*', when searching for a partial name/ISIN.
Status	At least one status must be selected. All status boxes are selected by default

When the desired search criteria have been entered, press **Search**. The search results are shown in a table, as seen below

Bilateral Transfer Search

Search criteria

Transfer reference

From date

To date

Securities account

Transfer type * Transfer in Transfer out

ISIN Name ISIN

Status * Transfer Request Received Settled Cancelled
 Rejected

Found 25 rows fetched at 2013.08.19 12:14:36

ISIN	Transfer reference	Transfer type	Quantity (units)	Delivering account	Receiving account	Received data	Status
DK0026116278	MT536-T25-0101	Transfer out	1.123456	79997 79997BILATERAL3	79999 79999BILATERAL1	2013-07-25	Settled
DK0026116278	MT536-T23-0101	Transfer out	50.987800	79997 79997BILATERAL3	79999 79999BILATERAL1	2013-07-23	Settled
DK0026119371	MT536-T16-0201	Transfer in	4.000000	79999 79999BILATERAL1	79997 79997BILATERAL3	2013-07-16	Settled
DK0026116278	MT536_TEST01-01	Transfer out	199.978758	79997 79997BILATERAL3	79998 79998BILATERAL2	2013-07-15	Settled
DK0026119371	MT536_TEST02-02	Transfer in	1.123456	79999 79999BILATERAL1	79997 79997BILATERAL3	2013-07-15	Settled
DK0026119371	MT536_TEST02-03	Transfer out	1.123456	79997 79997BILATERAL3	79999 79999BILATERAL1	2013-07-15	Settled
DK0026119371	LED-001	Transfer out	100.000000	79997 79997BILATERAL3	79999 79999BILATERAL1	2013-06-28	Settled
DK0026116278	REJT-002	Transfer out	491.333002	79997 79997BILATERAL3	79998 79998BILATERAL2	2013-06-26	Rejected Lack of units
DK0026116278	MATCH-TCV0204-A	Transfer out	88.000000	79997 79997BILATERAL3	79998 79998BILATERAL2	2013-06-26	Rejected No match found

Figur 4 - Search results. Data in search results is for test purpose only